

# The Fundamentals: Algorithms

Diagonalization & Halting Problem

April 18, 2022

# Outline

Diagonalization

Halting Problem

# Languages

alphabet A finite set of **symbols**; e.g. the *binary alphabet* is  $\{0, 1\}$ .

string A sequence of zero or more symbols from an alphabet; e.g.  $\epsilon$  (the empty string), 01011100010, 0, 101

$\Sigma$  is used to represent the alphabet as a whole.  $\epsilon$  (or  $\lambda$ ) stand for the empty string.

language A set of **strings**; e.g.  $\{w \mid w \text{ starts with } 1\}$ ,  $\{00, 01, 10, 11\}$ .

$\Sigma^*$  The star (Kleene's star operator) means zero or more copies of the symbol before the star. This is short hand for the set of **all** the strings across the alphabet  $\Sigma$ .

**Note:** that means  $\Sigma^*$  is a *set*.

# Cardinality of $\{0, 1\}^*$

$\{0, 1\}^*$  is infinite. Consider the set of just strings containing only '1' symbols. The lengths of different strings in this language range across non-negative integers.

That is infinite.

Is  $\Sigma^*$  **countable**?

If so, how to prove it.

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Is  $\Sigma^*$  **countable**?

If so, how to prove it.

Find bijection  $f : \Sigma^* \rightarrow \mathbb{Z}^+$ .

$$f : \{0, 1\}^* \rightarrow \mathbb{Z}^+$$

Define the *length-then-value* ordering for binary strings:  $w_1$  comes before  $w_2$  if  $|w_1| < |w_2|$  or  $|w_1| = |w_2| \wedge$  the unsigned number represented by  $w_1$  is less than the number represented by  $w_2$ .

So,  $\Sigma^*$  can be put in order by the above ordering:

$$\{\varepsilon, 0, 1, 00, 01, 10, 11, 000, 001, 010, 011, 100, 101, 110, 111, \dots\}$$

$\forall z \in \mathbb{Z}$  let  $s = \lfloor \log_2(z) \rfloor$  and  $p = 2^s$ . Then  $f(z) = z - p$  written as a binary number  $s$  characters long.

$$f : \{0, 1\}^* \rightarrow \mathbb{Z}^+$$

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$z$	$s$	$z - p$	$f(z)$
1	0	0	" $\epsilon$ " = $\epsilon$
2	1	0	"0"
3	1	1	"1"
4	2	0	"00"
5	2	1	"01"
6	2	2	"10"
7	2	3	"11"
8	3	0	"000"
9	3	1	"001"
10	3	2	"010"
11	3	3	"011"

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$$f(23) = 0111$$

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$$f(23) = 0111$$

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$$f^{-1}(\varepsilon) = 0$$

$$f^{-1}(1000) = 24$$

$$f^{-1}(00010) =$$

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$$f(23) = 0111$$

$$f(32) = 00000$$

$$f^{-1}(\varepsilon) = 0$$

$$f^{-1}(1000) = 24$$

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# Cardinality of $\mathbb{P}(\Sigma^*)$

## Review of Terms

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- $\mathbb{P}(\Sigma^*) = \text{Set of all } \textit{subsets} \text{ of } \Sigma^*$   
 $\{ \text{all } \textit{binary languages} \}$



# Cardinality of $\mathbb{P}(\Sigma^*)$

$\mathbb{P}(\Sigma^*)$  is *uncountable*.

$$|\mathbb{P}(\Sigma^*)| > |\mathbb{Z}^+|$$

$$|\mathbb{P}(\Sigma^*)| > \aleph_0$$

# $\mathbb{P}(\Sigma^*)$ is Infinite

TBP:  $\mathbb{P}(\Sigma^*)$  is *infinite*.

Let  $S = \{L \mid L \in \mathbb{P}(\{0, 1\}^*) \wedge |L| = 1\}$   $S$  is the set of all *singleton* languages. Since there is one element in  $S$  for each element in  $\{0, 1\}^*$ ,  $|S| = |\{0, 1\}^*|$ .

$S$  is (countably) infinite.

$S \subset \mathbb{P}(\{0, 1\}^*) \Rightarrow |S| \leq |\mathbb{P}(\{0, 1\}^*)|$

$\therefore \mathbb{P}(\{0, 1\}^*)$  is **infinite**. □

# $\mathbb{P}(\Sigma^*)$ is Uncountable

TBP:  $\mathbb{P}(\Sigma^*)$  is *uncountable*.

TBP:  $|\mathbb{P}(\Sigma^*)| \neq |\mathbb{Z}^+|$

Countably Infinite

FSOC:  $|\mathbb{P}(\Sigma^*)| = |\mathbb{Z}^+|$

1. bijection  $\exists f : \mathbb{Z}^+ \rightarrow \mathbb{P}(\Sigma^*)$
2.  $f$  can be represented as a table

Same cardinality



# Looking at $f$

	$\epsilon$	0	1	00	01	10	11	000	001	...
1	0	1	0	1	0	1	0	1	0	...
2	0	1	0	1	1	1	0	0	0	...
3	0	0	1	0	0	0	0	0	0	...
4	1	0	1	0	1	0	0	0	1	...
...										

Each row represents a *subset* of  $\Sigma^*$  or an element of  $\mathbb{P}(\Sigma^*)$ .  
 A sequence of Boolean values whether the string atop the column is/is not in the language in that row.

# Looking at $f$

	$\epsilon$	0	1	00	01	10	11	000	001	...
1	0	1	0	1	0	1	0	1	0	...
2	0	1	0	1	1	1	0	0	0	...
3	0	0	1	0	0	0	0	0	0	...
4	1	0	1	0	1	0	0	0	1	...
...										

Let  $f(i) = b_{i1}b_{i2}b_{i3}b_{i4}b_{i5} \dots$

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Let  $d$ , the diagonal language, be  $b_{11}b_{22}b_{33}b_{44} \dots = 0110\dots$

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Let  $f(i) = b_{i1}b_{i2}b_{i3}b_{i4}b_{i5} \dots$

Let  $\underline{d}$ , the diagonal language, be  $b_{11}b_{22}b_{33}b_{44} \dots = 0110\dots$

Let  $\overline{d}$ , the anti-diagonal language, be  $b_{11}b_{22}b_{33}b_{44} \dots = 1001\dots$

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Let  $d$ , the diagonal language, be  $b_{11}b_{22}b_{33}b_{44} \dots = 0110\dots$

Let  $\bar{d}$ , the anti-diagonal language, be  $b_{11}b_{22}b_{33}b_{44} \dots = 1001\dots$

$\bar{d} \notin \text{range}(f)$ .



# Looking at $f$

TBP:  $\bar{d} \notin \text{range}(f)$ .

FSOC:  $\bar{d} \in \text{range}(f)$ .

1.  $\exists z \in \mathbb{Z}_+ \ni f(z) = \bar{d}$     Definition of range
2.  $f(z)_z = b$      $f(z)$  is a sequence of bits
3.  $\bar{d}_z = \bar{b}$     by construction

$\rightarrow \leftarrow$   $f(z)$  cannot equal  $\bar{d}$     2. and 3.

$\therefore \bar{d} \notin \text{range}(f)$

$f$  is **not** an onto function.



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Countably Infinite

FSOC:  $|\mathbb{P}(\Sigma^*)| = |\mathbb{Z}^+|$

Same cardinality

1. bijection  $\exists f : \mathbb{Z}^+ \rightarrow \mathbb{P}(\Sigma^*)$
2.  $f$  can be represented as a table
3. The  $f$  in the table is not **onto**.



$\rightarrow \leftarrow$   $f$  both is and is not onto

1. and 3.

$\therefore |\mathbb{P}(\Sigma^*)| \neq |\mathbb{Z}^+|$

$\therefore \mathbb{P}(\Sigma^*)$  is **uncountably** infinite

# Halting Problem

## Definition

The **Halting Problem** is the problem of constructing a program,  $H$ , that takes two parameters:  $P$ , another computer program and  $I$ , input for  $P$ .  $H$  should report “halts” or “loops forever” depending on whether or not  $P$  halts on input  $I$ .

$$H(P, I) = \begin{cases} \text{"halts"} & \text{if } P(I) \text{ halts} \\ \text{"loops forever"} & \text{if } P(I) \text{ does not halt} \end{cases}$$

# Programs as Data

A program is encoded in some way (Fortran, pseudo-code, Java, bytecode). An encoding can be expressed as a sequence of symbols across some alphabet.

Any alphabet can be re-encoded using strings of bits.

Any program can be expressed as a sequence of bits.

A program, encoded as a sequence of bits, can be given as input to a program.

The receiving program may or may not respect the “right” interpretation.

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Any program that takes a single input parameter can be passed itself (or rather, its own encoding) as its input.

# Halting Problem

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Definition

FSOC Assume  $H$  exists. Construct  $D$

$$D(P) = \begin{cases} \text{loop forever} & \text{if } H(D, P) \text{ halts} \\ \text{return} & \text{if } H(D, P) \text{ does not halt} \end{cases}$$

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What does  $H(D, D)$  return?